PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q78961

Hideaki ITO Allowed: August 1, 2007

Appln. No.: 10/768,664 Group Art Unit: 1756

Confirmation No.: 8395 Examiner: John A. McPherson

Filed: February 2, 2004

For: COLORED COMPOSITION AND PHOTOSENSITIVE TRANSFER MATERIAL FOR PRODUCING BLACK MATRIX, BLACK MATRIX AND METHOD FOR PRODUCING THE SAME, COLOR FILTER, LIQUID CRYSTAL DISPLAY, AND

BLACK MATRIX SUBSTRATE

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97</u>

MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under 37 C.F.R. § 1.97(e).

INFORMATION DISCLOSURE STATEMENT Attorney Docket No.: Q78961

U.S. Appln. No.: 10/768,664

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

In further compliance with the concise explanation requirement under

37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits herewith English

language abstracts for Japanese Patent Application Nos. 08-271727, 08-248221 and 2000-

162427.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The statutory fee of \$180.00 is being charged to Deposit Account No. 19-4880 via EFS

Payment Screen. The USPTO is directed and authorized to charge all required fees, except for

the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

/Brett S. Sylvester/ Brett S. Sylvester

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: September 24, 2007

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BLACK MATRIX SUBSTRATE

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

/Brett S. Sylvester/ SUGHRUE MION, PLLC Brett S. Sylvester Registration No. 32,765 Telephone: (202) 293-7060

WASHINGTON OFFICE 23373

CUSTOMER NUMBER

Date: September 24, 2007

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